

**UNITED STATES BANKRUPTCY COURT**  
**SOUTHERN DISTRICT OF NEW YORK**

-----X  
In re: )  
 ) Chapter 11  
 )  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, ) Case No. 08-13555 (JMP)  
 )  
 )  
 )  
Debtors ) (Jointly Administered)  
-----X

**TRANSFER OF CLAIMS FOR SECURITY**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(4), Fed. R. Bankr. P., of the transfer for security, of the claims referenced in this evidence and notice.

Credit Suisse International  
Name of Transferee

BedRok Securities LLC  
Name of Transferor

Name and Address where notices to transferee  
should be sent:


**Credit Suisse International**  
One Cabot Square  
London  
E14 4QJ, United Kingdom  
Attn: Ashwinee Sawh  
Tel: 212-538-2905  
Fax: N/A  
e-mail: ashwinee.sawh@credit-suisse.com

Case No.: 08-13555  
Court Claim No.: 67079  
Amount of Claim Transferred: \$71,000,000.00  
Date Claim Filed: 9/20/2010  
Debtor: Lehman Brothers Holdings Inc.

Name and Address where notices to transferor  
should be sent:

**BedRok Securities LLC**  
Attn: Rick Caplan  
555 Theodore Fremd Avenue  
Suite C-301  
Rye, New York 10580

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:  Date: January 28, 2014  
Transferee/Transferee's Agent

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Shui Wong  
Authorized Signatory



Carole Villoresi  
Authorized Signatory

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")  
Case No. 08-13555 (JMP) (Jointly Administered)

Proof of Claim Number 67079

**BEDROK SECURITIES LLC**, its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

**Credit Suisse International**  
**1-5 Cabot Square**  
**London**  
**E14 4QJ, United Kingdom**  
**Attn: Robert Healey**  
**Tel: 212-538-6810**  
**Fax: N/A**  
**Email: Robert.healey@credit-suisse.com**

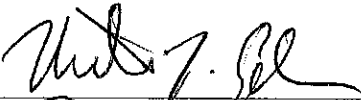
its successors and assigns ("Buyer"), Seller's right, title and interest in and to Proof of Claim Number 67079, solely to the extent of \$71,000,000.00 (the "Assigned Claim") out of the aggregate \$325,000,000.00 claim against Lehman Brothers Holdings Inc., in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Assigned Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Assigned Claim and recognizing the Buyer as the sole owner and holder of the Assigned Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Assigned Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly authorized representative dated December \_\_\_\_, 2013.

**BEDROK SECURITIES LLC**

By:   
Name: Rick Caplan  
Title: President

**CREDIT SUISSE INTERNATIONAL**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")  
Case No. 08-13555 (JMP) (Jointly Administered)

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**London**  
**E14 4QJ, United Kingdom**  
**Attn: Ashwinee Sawh**  
**Tel: 212-538-2905**  
**Fax: N/A**  
**Email: ashwinee.sawh@credit-suisse.com**

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**BEDROK SECURITIES LLC**

By: \_\_\_\_\_  
Name:  
Title:

**CREDIT SUISSE INTERNATIONAL**

By: \_\_\_\_\_  
Name:  
Title:

Shui Wong  
Authorized Signatory

Bik Kwan Chung  
Authorized Signatory